$K = Q(\sqrt{15}) \Rightarrow O_k = Z(\sqrt{15}) \Rightarrow (4)^{r_2} n! ||disc(k)| = \sqrt{15} \approx 3.87$ mod3=>(3)=p2 $\chi^{2} - 15 \equiv (\chi - 1)^{2} \mod 2$ $= \beta_{3}^{2} \sim (1)$) N (3- $P_2 \doteq$ $\mathbb{Z}_{2} = \left\{ [(1)], [p_{2}] \right\} \cong \mathbb{Z}_{2}/\mathbb{Z}_{2}$., Cl(K) = \Rightarrow h(K)=2. LCONN

Arithmetic Statistics Lecture 1



Department of Mathematics University of Connecticut

May 28th

CTNT 2018 Connecticut Summer School in Number Theory



What is "Arithmetic Statistics"?

Our **goal**: count or enumerate number theoretic objects of a certain type, up to a bound.

Number-theoretic objects:

- Prime numbers, twin primes, Wieferich primes, etc,
- Binary quadratic forms, binary cubic forms, etc.
- Number fields, class groups of number fields,
- Zeta functions, *L*-functions,
- Elliptic curves, torsion subgroups of elliptic curves, ranks, Tate-Shafarevich groups,

among others.

What is "Arithmetic Statistics"?

What **questions** are we trying to answer?

We fix a number-theoretic object and we ask:

- Do they exist?
- Are there finitely many or infinitely many such objects?
- Can we parametrize these objects in families?
- Is there a notion of "size" or "height"?
- How many objects are there up to a given height? Asymptotically?
- How many objects are there relative to another number-theoretic object?

What is "Arithmetic Statistics"?

- Prime numbers p, ordered by |p|, their absolute value.
- Prime numbers $p \equiv 1 \mod 4$,

What is "Arithmetic Statistics"?

- Prime numbers p, ordered by |p|, their absolute value.
- Prime numbers p = 1 mod 4, compared to the family of primes p = 3 mod 4, ordered by |p|.
- Twin primes p, q = p + 2, ordered by |p|.
- Primes of the form $p = n^2 + 1$.
- Wieferich primes: p such that $2^{p-1} 1$ is divisible by p^2 .

What is "Arithmetic Statistics"?

- Binary quadratic forms f(x, y) = ax² + bxy + cy², ordered by their discriminant | disc(f)| = |b² 4ac|.
- Binary quadratic forms f(x, y) ordered by max(|a|, |b|, |c|).
- Binary quadratic forms f(x, y) of fixed discriminant.
- Higher-order binary forms (e.g., cubic).

What is "Arithmetic Statistics"?

- Number fields K, ordered by the absolute value of their discriminant | Disc(K)|.
- Number fields up to | Disc(K)| ≤ D, filtered by a fixed invariant (e.g., fixed degree, or fixed Galois group Gal(K/Q) = G).
- Class groups Cl(K) of number fields up to a bound on the discriminant (e.g., class groups of imaginary quadratic fields).

What is "Arithmetic Statistics"?

- Elliptic curves E/\mathbb{Q} : $y^2 = x^3 + ax + b$, ordered by the absolute value of their discriminant $|16(4a^3 + 27b^2)|$.
- Elliptic curves E/\mathbb{Q} ordered by their conductor.
- Torsion subgroups of elliptic curves up to a bound of their discriminant.
- Ranks of elliptic curves up to a bound of their discriminant (e.g., average rank up to a given bound).
- Tate-Shafarevich groups of elliptic curves up to a bound of their discriminant.

Prime Numbers

Prime Numbers

2, 3, 5, 7, 11, 13, 17, 19,...



Leonhard Euler 1707 – 1783

Mathematicians have tried in vain to this day to discover some order in the sequence of prime numbers, and we have reason to believe that it is a mystery into which the mind will never penetrate.

Theorem (The Prime Number Theorem)

$$\pi(X)\sim rac{X}{\log X}, \quad \textit{ i.e., } \quad \lim_{X
ightarrow\infty}rac{\pi(X)}{rac{X}{\log X}}=1.$$



A-M. Legendre 1752 – 1833



J. C. F. Gauss 1777 – 1855



J. P. G. L. Dirichlet 1805 – 1859

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Jacques Hadamard 1865 – 1963



C. J. de la Vallée Poussin 1866 – 1962

Theorem (The Prime Number Theorem)

$$\pi(X) \sim \frac{X}{\log X}, \quad i.e., \quad \lim_{X \to \infty} \frac{\pi(X)}{\frac{X}{\log X}} = 1.$$

x	$\pi(x)$	$\frac{x}{\ln(x)}$	$\frac{x}{\ln(x)-1}$	$\int_2^x \frac{1}{\ln(t)} dt$
10^{2}	25	22	28	29
10^{3}	168	145	169	177
10^{4}	1229	1086	1218	1245
10^{5}	9592	8686	9512	9629
10^{6}	78498	72382	78030	78627
10^{7}	664579	620421	661459	664917
10^{8}	5761455	5428681	5740304	5762208
10^{9}	50847534	48254942	50701542	50849234
10^{10}	455052511	434294482	454011971	455055614

Let us *conjecture* the prime number theorem, using a probabilistic/statistical approach.

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ASSUMPTION: There is a "mathematical law" that describes the distribution of primes, in the following sense:

- (a) For large *n*, we have $\pi(n) \approx \int_2^n W(x) dx$ (so that W(x) can be interpreted as a probability density function of prime numbers).
- (b) For $X \gg \Delta X$, we have $\pi(X + \Delta X) \pi(X) \approx W(X) \cdot \Delta X$.

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(b) For
$$X \gg \Delta X$$
, we have $\pi(X + \Delta X) - \pi(X) \approx W(X) \cdot \Delta X$.

Proposition (exercise!)

We have $\log(n!) \sim n \cdot \log n$, or, in other words, $\lim_{n \to \infty} \frac{\log(n!)}{n \cdot \log n} = 1$.

Now, let us give a second formula for log(n!) in terms of prime numbers.

Definition

For an integer *a*, we let $\nu_p(a)$ be the largest non-negative integer such that $p^{\nu_p(a)}$ divides *a*. In other words, $a = p^{\nu_p(a)} \cdot m$, with gcd(m, p) = 1.

Since $\nu_p(ab) = \nu_p(a) + \nu_p(b)$, it follows that

$$u_{\rho}(n!) = \nu_{\rho}(1) + \nu_{\rho}(2) + \nu_{\rho}(3) + \cdots + \nu_{\rho}(n)$$

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$$u_{\rho}(n!) = \nu_{\rho}(1) + \nu_{\rho}(2) + \nu_{\rho}(3) + \cdots + \nu_{\rho}(n).$$

For each $n, k \ge 1$, and prime p, define numbers:

$$N_k = N_{p,k}(n) = \#\{m \in \mathbb{N} : 1 \le m \le n, \text{ and } p^k \mid n\},\$$

and

$$M_k = M_{p,k}(n) = \#\{m \in \mathbb{N} : 1 \le m \le n, \text{ and } \nu_p(m) = k\}.$$

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Then, $N_k \approx n/p^k$, and $M_k = N_k - N_{k+1} \approx n/p^k - n/p^{k+1}$. Hence:

$$\nu_p(n!) = 1 \cdot M_1 + 2 \cdot M_2 + 3 \cdot M_3 + \cdots$$

$$\begin{split} \nu_{p}(n!) &= 1 \cdot M_{1} + 2 \cdot M_{2} + 3 \cdot M_{3} + \cdots \\ &= 1 \cdot (N_{1} - N_{2}) + 2 \cdot (N_{2} - N_{3}) + 3 \cdot (N_{3} - N_{4}) + \cdots \\ &= N_{1} + N_{2} + N_{3} + \cdots \\ &\approx \frac{n}{p} + \frac{n}{p^{2}} + \frac{n}{p^{3}} + \cdots \\ &\approx \frac{n}{p} \cdot \left(1 + \frac{1}{p} + \frac{1}{p^{2}} + \cdots\right) \\ &= \frac{n}{p} \cdot \frac{p}{p-1} = \frac{n}{p-1}. \end{split}$$

$$\nu_{p}(n!) = 1 \cdot M_{1} + 2 \cdot M_{2} + 3 \cdot M_{3} + \cdots$$

= 1 \cdot (N_{1} - N_{2}) + 2 \cdot (N_{2} - N_{3}) + 3 \cdot (N_{3} - N_{4}) + \cdots
= N₁ + N₂ + N₃ + \cdots
\approx \frac{n}{p} + \frac{n}{p^{2}} + \frac{n}{p^{3}} + \cdots
\approx \frac{n}{p} \cdot \left(1 + \frac{1}{p} + \frac{1}{p^{2}} + \cdots \right)
= \frac{n}{p} \cdot \frac{p}{p-1} = \frac{n}{p-1}.

Thus, for large *n*, we have

$$\log(n!) = \log\left(\prod_{2 \le p \le n} p^{\nu_p(n!)}\right) = \sum_{2 \le p \le n} \log\left(p^{\nu_p(n!)}\right)$$
$$\approx \sum_{2 \le p \le n} \log\left(p^{n/(p-1)}\right) = \sum_{2 \le p \le n} \frac{n}{p-1} \cdot \log p.$$

$$n \cdot \log n \sim \log(n!) \sim \sum_{2 \le p \le n} \frac{n}{p-1} \cdot \log p.$$

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We shall reinterpret the sum as a Riemann sum. Let

$$[2, X] = [2 = X_1, X_2] \cup [X_2, X_3] \cup \cdots \cup [X_r, X_{r+1} = X]$$

with $r \gg 0$ so that $\pi(X_{k+1}) - \pi(X_k) \approx W(X_k) \Delta X_k$ for each $0 \le k \le r$, and if $p \in [X_k, X_{k+1}]$, then $p \approx X_k$.

$$n \cdot \log n \sim \log(n!) \sim \sum_{2 \leq p \leq n} \frac{n}{p-1} \cdot \log p.$$

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with $r \gg 0$ so that $\pi(X_{k+1}) - \pi(X_k) \approx W(X_k) \Delta X_k$ for each $0 \le k \le r$, and if $p \in [X_k, X_{k+1}]$, then $p \approx X_k$. Then,

$$\log X \sim \sum_{2 \leq p \leq X} \frac{\log p}{p-1} = \sum_{j=1}^{r+1} W(X_j) \cdot \frac{\log(X_j)}{X_j-1} \Delta X_j \sim \int_2^X W(t) \cdot \frac{\log t}{t-1} dt.$$

Note that if $\log X = \int_2^X W(t) \cdot \frac{\log t}{t-1} dt$, then taking derivatives we obtain

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$$W(X) = rac{X-1}{X\log X} pprox rac{1}{\log X}$$

for large X. It follows that

$$\pi(X) \sim \int_2^X W(t) dt \sim \int_2^X \frac{1}{\log t} dt.$$

Theorem (The Prime Number Theorem (Dirichlet))

Let $\pi(X)$ be the cardinality of the set of prime numbers $p \leq X$. Then:

 $\pi(X) \sim \operatorname{Li}(X),$

where $\operatorname{Li}(X) = \int_2^X \frac{1}{\log t} dt$.

Warning! We have not proved the prime number theorem. We have proved that IF there is a probability density function W(X) that describes the distribution of the prime numbers, then the distribution is $W(X) = 1/\log X$.

However, Dirichlet's theorem is true, and this motivates the heuristic that the probability that a number *n* is prime, should be approximately $1/\log n$. This heuristic has been used to motivate a number of conjectures.



Pierre de Fermat 1607 – 1665



G. H. Hardy 1877 – 1947



E. M. Wright 1906 – 2005

There are only finitely many Fermat primes.

Recall that a number of the form $F_n = 2^{2^n} + 1$, for $n \ge 0$, is called a Fermat number. If F_n is prime, then we call it a Fermat prime.

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"**Proof.**" If the numbers F_n behave as random numbers, then each F_n is prime with probability $1/\log(F_n)$. Thus, the expected number of prime numbers among F_0, F_1, F_2, \ldots , is given by

$$\sum_{n=0}^{\infty} \frac{1}{\log(F_n)} = \sum_{n=0}^{\infty} \frac{1}{\log(2^{2^n} + 1)} \le \sum_{n=0}^{\infty} \frac{1}{\log(2^{2^n})}$$
$$= \sum_{n=0}^{\infty} \frac{1}{2^n \log(2)} = \frac{1}{\log 2} \sum_{n=0}^{\infty} \frac{1}{2^n} = \frac{2}{\log 2} = 2.8853...$$

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There are only 5 known Fermat primes: 3, 5, 17, 257, and 65537.

There are infinitely many prime numbers p such that q = p + 2 is a also prime.

Such as (3, 5), (5, 7), (11, 13), (17, 19), (29, 31), (41, 43), (59, 61), (71, 73), (101, 103), (107, 109), (137, 139),...

Range 1-x	Ten Power (x)	Number of Twin Primes $2\pi(x)$
1-10	10 ¹	2
1-100	10 ²	8
1-1,000	10 ³	35
1-10,000	10 ⁴	205
1-100,000	105	1,224
1-1,000,000	10 ⁶	8,169
1-10,000,000	107	58,980
1-100,000,000	10 ⁸	440,312
1-1,000,000,000	109	3,424,506
1-10,000,000,000	10 ¹⁰	27,412,679
1-100,000,000,000	10 ¹¹	224,376,048
1-1,000,000,000,000	10 ¹²	1,870,585,220
1-10,000,000,000,000	10 ¹³	15,834,664,872
1-100,000,000,000,000	1014	135,780,321,665
1-1,000,000,000,000,000	1015	1,177,209,242,304
1-10.000.000.000.000.000	10 ¹⁶	10.304.195.697.298

There are infinitely many prime numbers p such that q = p + 2 is a also prime.

"**Proof.**" If *n* and *n* + 2 behave as independent random numbers, then *n* and *n* + 2 are simultaneously prime with probability $\frac{1}{\log n} \cdot \frac{1}{\log(n+2)}$.

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"**Proof.**" If *n* and *n* + 2 behave as independent random numbers, then *n* and *n* + 2 are simultaneously prime with probability $\frac{1}{\log n} \cdot \frac{1}{\log(n+2)}$. Thus, the expected number of twin primes up to $n \le X$ is approximately

$$\sum_{n=2}^{X} \frac{1}{\log n} \cdot \frac{1}{\log(n+2)} \approx \sum_{n=2}^{X} \frac{1}{(\log n)^2} \approx \int_{2}^{X} \frac{1}{(\log t)^2} dt.$$

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However, the independence cannot possibly be true (e.g., if *n* is even, n + 2 is even), so one expects that a proportion of numbers needs to be ruled out for congruence restrictions, so that the number of twin primes is approximately

$$C \cdot \int_2^X \frac{1}{(\log t)^2} dt$$
 for some constant *C*.

Conjecture (Prime Constellation Conjecture; Hardy, Littlewood)

Let $C = 2 \cdot \prod_{p \ge 3} \left(1 - \frac{1}{(p-1)^2}\right) \approx 1.32032363...$ and let $\pi_2(X)$ be the number of primes $p \le X$ such that q = p + 2 is also prime. Then,

$$\pi_2(X) \sim C \cdot \int_2^X \frac{1}{(\log t)^2} dt.$$



G. H. Hardy 1877 – 1947



John Littlewood 1885 – 1977

Conjecture (Prime Constellation Conjecture; Hardy, Littlewood)

Let $a_1 = 0, a_2, ..., a_k$ be integers such that there is no prime p with the property that the set $\{a_i \mod p\}$ covers all the values modulo p. Then, there are infinitely many prime constellations p, $p + a_2, ..., p + a_k$, and the number of such primes $p \le X$ is asymptotic to

$$\pi_{a_1,\ldots,a_k}(X) \sim C_{a_1,\ldots,a_k} \cdot \int_2^X \frac{1}{(\log t)^k} dt,$$

for some explicit constant $C_{a_1,...,a_k} > 0$.



G. H. Hardy



John Littlewood





Let $k \ge 1$ be fixed. Then all n > 0 sufficiently large, we have

$$\pi(k) \geq \pi(n+k) - \pi(n).$$

"Proof." Clearly,

$$\sum_{j=2}^{k} \frac{1}{\log j} \gg \sum_{h=n+1}^{n+k} \frac{1}{\log h}$$

for large enough n.

In 1973, Douglas Hensley and Ian Richards showed that the prime constellation and 2nd conjectures of Hardy and Littlewood are incompatible.

There is an admissible *k*-tuple (or prime constellation) of 447 integers *a*₁ = 0,..., *a*₄₄₇ ≤ 3159.

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- By H-W's prime constellation conjecture, there must be infinitely many primes p such that $p, p + a_2, \ldots, p + a_{447}$ are primes in the interval [p, p + 3159].
- Thus, for each of those primes $\pi(p+3159) \pi(p-1) \ge 447$.
- However, $\pi(3160) = 446$.
- So π(3160) < π(X + 3160) π(X) would happen for infinitely many values of X, violating the 2nd conjecture.

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- Thus, for each of those primes $\pi(p+3159) \pi(p-1) \ge 447$.
- However, $\pi(3160) = 446$.
- So π(3160) < π(X + 3160) π(X) would happen for infinitely many values of X, violating the 2nd conjecture.

Exercise: Find an admissible *k*-tuple $a_1 = 0, \ldots, a_{447} \le 3159$.

Let *p* be an odd prime. By Fermat's little theorem we have $2^{p-1} \equiv 1 \mod p$. Thus, $2^{p-1} \equiv 1 + pk \mod p^2$.

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Definition

An odd prime p is called a Wieferich prime (in base 2) if

$$2^{p-1} \equiv 1 \mod p^2.$$

The first Wieferich prime is p = 1093 (Meissner, 1913). The second, p = 3511, was found by Beeger in 1922. If there is another one, the next Wieferich prime is $> 4.9 \cdot 10^{17}$.

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Conjecture

The number of Wieferich primes up to X is approximately $\log(\log X)$.

Note: $log(log(10^3)) \approx 1.93$, $log(log(10^6)) \approx 2.62$, $log(log(10^{17})) \approx 3.60$.

Exercise: give a reasonable heuristic that justifies this conjecture.



Marie-Sophie Germain 1776 – 1831

Definition

A prime p is called a Sophie Germain prime if q = 2p + 1 is also prime.

For example: (2,5), (3,7), (5,11), (11,23), etc.



Marie-Sophie Germain 1776 – 1831

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For example: (2,5), (3,7), (5,11), (11,23), etc.
```

Conjecture

There are infinitely many Sophie Germain primes.

Exercise: give a reasonable asymptotic for the number of Sophie Germain primes $p \le X$.

THANK YOU

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"If by chance I have omitted anything more or less proper or necessary, I beg forgiveness, since there is no one who is without fault and circumspect in all matters."

Leonardo Pisano (Fibonacci), Liber Abaci.