

CTNT - Summer 2018
Mini-Course C: Function field arithmetic
Exercises

Lecture 1 :

1. We will play with the finite field \mathbb{F}_{p^r} for $p = 3$ and $r = 2$.
 - (a) Find an irreducible polynomial of degree 2 over \mathbb{F}_3 .
 - (b) Denote by α a root of this polynomial. Using the basis $1, \alpha$ for \mathbb{F}_{3^2} , write down α^2 , α^3 , and $\alpha^2 + 2\alpha$.
 - (c) Let $\xi = 2 + \alpha$ and $\zeta = 2\alpha$. Again using the basis $1, \alpha$ for \mathbb{F}_{3^2} , write down $\xi + \zeta$, $\xi\zeta$, ξ^2 , and $(2\xi + 1)(\zeta + 2)$.
 - (d) Let $\xi = 2 + \alpha$, as above. What is ξ^{-1} , the multiplicative inverse of ξ ? (Recall that in a field, every nonzero element must have a multiplicative inverse!)

2. Let K be any field. Show that the map

$$(1) \quad |x| = \begin{cases} 1 & \text{if } x \neq 0, \\ 0 & \text{if } x = 0. \end{cases}$$

is an absolute value on K . It is called the *trivial absolute value* on K . What is the trivial valuation on K ?

3. Prove property iii) of valuations ($v(x + y) \geq \min(v(x), v(y))$ with equality if $v(x) \neq v(y)$) for general elements $x = \frac{a(T)}{b(T)}$ and $y = \frac{c(T)}{d(T)}$ of $\mathbb{F}_q(T)$.
4. Let $v(x)$ be the valuation on $\mathbb{F}_q(T)$ that was introduced in the lecture (i.e. if $x = \frac{a(T)}{b(T)} \neq 0$, then $v(x) = \deg b(T) - \deg a(T)$, and $v(0) = \infty$). Prove that $|x| = q^{-v(x)}$ is an absolute value.
5. Prove that if an absolute value satisfies the ultrametric inequality ($|x+y| \leq \max(|x|, |y|)$ with equality if $|x| \neq |y|$) then this absolute value is non-Archimedean.
6. Is the trivial absolute value Archimedean or non-Archimedean?
7. For the absolute value $|\cdot|$ on $\mathbb{F}_3(T)$ given in lecture, compute

- (a) $|2T^2 + T|$
- (b) $\left| \frac{T^5 + 1}{T^3 + 2T + 2} \right|$
- (c) $\left| \frac{T - 1}{T^4 + 2T + 1} \right|$

8. Give 5 “small” elements of $\mathbb{F}_5(T)$, where here by “small” we mean elements with absolute value strictly less than 1. Give 5 “large” elements of $\mathbb{F}_5(T)$, where here by “large” we mean elements with absolute value strictly greater than 1.
9. (adapted from Problem 36 of Gouvêa’s *p-adic Numbers, An Introduction*) Consider $|\cdot|$ the absolute value on $\mathbb{F}_q(T)$ given in lecture. This induces, by restriction, an absolute value on \mathbb{F}_q . Describe this absolute value.
10. (adapted from Problem 29 of Gouvêa’s *p-adic Numbers, An Introduction*) Let A be a domain and K its field of fractions. Let $v: A \rightarrow \mathbb{R} \cup \{\infty\}$ be a valuation on A . Show that the function $v: K \rightarrow \mathbb{R} \cup \{\infty\}$ defined by

$$(2) \quad v\left(\frac{a}{b}\right) = v(a) - v(b)$$

for $\frac{a}{b} \in K$ with $a, b \in A$ gives a valuation on K .

11. (adapted from Problem 29 of Gouvêa’s *p-adic Numbers, An Introduction*) Let K be a field, v a valuation on K , and e be the usual positive mathematical constant sometimes called Euler’s number. Show that the function $|\cdot|: K \rightarrow \mathbb{R}_+$ given by

$$(3) \quad |x| = e^{-v(x)}$$

for $x \neq 0$ and $|0| = 0$ is a non-Archimedean absolute value on K . Conversely, show that if $|\cdot|$ is non-Archimedean absolute value on K , then $v(\cdot) = -\ln|\cdot|$ is a valuation on K .

12. Prove that in a non-Archimedean field, every triangle is isosceles.
13. Let K be a non-Archimedean field, and recall that for r a positive real number and $a \in K$,

$$(4) \quad B(a, r) = \{x \in K : |x - a| < r\}.$$

- (a) Prove that $b \in B(a, r)$ implies that $B(a, r) = B(b, r)$.
- (b) Let r and s be two positive real numbers and $a, b \in K$. Prove that

$$(5) \quad B(a, r) \cap B(b, s) \neq \emptyset$$

if and only if

$$(6) \quad B(a, r) \subseteq B(b, s) \quad \text{or} \quad B(b, s) \subseteq B(a, r).$$

- (c) (If you know enough topology) Prove that $B(a, r)$ is both open and closed in the topology defined by the metric given by $d(x, y) = |x - y|$.
14. Consider the field $\mathbb{F}_q(T)$ with the absolute value introduced in lecture.

- (a) Describe the elements that belong to the ball $B(0, 1)$.
- (b) Describe the elements that belong to the ball $B(0, q)$.
- (c) Describe the elements that belong to the ball $B(0, q^2)$.
- (d) Describe the elements that belong to the ball $B(T, 1)$.
- (e) Describe the elements that belong to the ball $B(T, q)$.
- (f) Describe the elements that belong to the ball $B(T^2, 1)$.

Lecture 2 :

1. Prove that $\mathbb{F}_q[T]$ is a principal ideal domain.

Hint: Since there is a division algorithm in $\mathbb{F}_q[T]$, you can use the same proof that is used to show that \mathbb{Z} is a PID, replacing “least element of the ideal” with “element of least degree in the ideal.”

2. What are the units in the ring $\mathbb{F}_q[T]$?

3. Let \widehat{K} be a complete field with respect to a non-Archimedean absolute value $|\cdot|$, and for both problems below let $x_n \in \widehat{K}$ for each n .

- (a) Show that the sequence $\{x_n\}_{n=0}^{\infty}$ is Cauchy if and only if $\lim_{n \rightarrow \infty} |x_{n+1} - x_n| = 0$.
- (b) Show that the series $\sum_{n=0}^{\infty} x_n$ converges if and only if $\lim_{n \rightarrow \infty} x_n = 0$.

4. Now consider $\widehat{K} = \mathbb{R}$ equipped with the usual absolute value. Then \mathbb{R} is complete with respect to this absolute value, but the absolute value is Archimedean.

- (a) **Updated, this was mistakenly the converse before!**

Give an example of a sequence $\{x_n\}_{n=0}^{\infty}$ in \mathbb{R} such that $\lim_{n \rightarrow \infty} |x_{n+1} - x_n| = 0$ but the sequence is not Cauchy.

- (b) Give an example of a series $\sum_{n=0}^{\infty} x_n$ with $x_n \in \mathbb{R}$ such that $\lim_{n \rightarrow \infty} x_n = 0$ but the series is not convergent.

5. **Updated: Negative exponent, otherwise it's not Cauchy!**

Prove that the sequence $\{x_n\}_{n=0}^{\infty}$ for

$$(7) \quad x_n = \sum_{i=-n}^0 T^{-i^2}$$

is Cauchy.

6. (adapted from Goss's *Basic Structures of Function Field Arithmetic*, Chapter 2) Let $f(x) = \sum_{n=0}^{\infty} a_n x^n$ be a power series with $a_n \in C_{\infty}$ (where we recall that C_{∞} is the completion of the algebraic closure of the completion of $\mathbb{F}_q(T)$ (!)). Define the *order of convergence of f* to be

$$(8) \quad \rho(f) = - \lim_{n \rightarrow \infty} \frac{v(a_n)}{n}.$$

Show that if $\alpha \in C_{\infty}$ then f converges at α if $v(\alpha) > \rho(f)$ and f diverges at α if $v(\alpha) < \rho(f)$.

7. **Updated: This is now a problem.** Let

$$(9) \quad [n] = T^{q^n} - T.$$

Show that $[n]$ is the product of all monic irreducible polynomials of degree dividing n .

8. **Updated: There was a typo in the second formula for D_n before!**

For this problem, let

$$(10) \quad \begin{aligned} D_n &= \prod_{\substack{a \in \mathbb{F}_q[T] \\ a \text{ monic of degree } n}} a \\ &= [n][n-1]^q \cdots [1]^{q^{n-1}}. \end{aligned}$$

- (a) Prove that these two ways to define D_n give the same quantity.
 (b) What is the degree of D_n ?
 (c) Let

$$(11) \quad e_C(x) = \sum_{n=0}^{\infty} \frac{x^{q^n}}{D_n}.$$

What is $\rho(e_C)$, where $\rho(f)$ was defined in equation (8)?

9. For this problem, let

$$(12) \quad \begin{aligned} L_n &= [n][n-1] \cdots [1] \\ &= \text{least common multiple of all monics of degree } n. \end{aligned}$$

- (a) Prove that these two ways to define L_n give the same quantity.
 (b) What is the degree of L_n ?
 (c) Let

$$(13) \quad \log_C(x) = \sum_{n=0}^{\infty} (-1)^n \frac{x^{q^n}}{L_n}.$$

What is $\rho(\log_C)$, where $\rho(f)$ was defined in equation (8)?

10. Show that $e_C(\log_C(x)) = \log_C(e_C(x)) = x$, where e_C is defined in equation (11) and \log_C is defined in equation (13).

Lecture 3 :

1. Let K be a field of characteristic p and let $\tau_p = x^p$, as in lecture. Prove that the twisted ring $K\{\tau_p\}$ of polynomials in τ_p , where $\tau_p^i = x^{p^i}$, is a non-commutative ring under the usual polynomial addition and composition.
2. Let K be a field and $P(x) \in K[x]$. Prove that P is separable if and only if P and P' are relatively prime. (You may assume that K is algebraically closed if it helps, but it shouldn't be too much more complicated to prove this for general K .)
3. Let K be a field of characteristic p and $P(x) \in K\{\tau_p\}$. Give a simple necessary and sufficient condition for P to be separable.
4. (a) The extension $\mathbb{Q}(\sqrt{-3})$ of \mathbb{Q} is abelian, and therefore contained in $\mathbb{Q}(\zeta_n)$ for some n . What is one such n ? (Hint: Actually $\mathbb{Q}(\sqrt{-3}) = \mathbb{Q}(\zeta_n)$ for some n , which one?)
 (b) The extension $\mathbb{Q}(\sqrt{5})$ of \mathbb{Q} is abelian, and therefore contained in $\mathbb{Q}(\zeta_n)$ for some n . What is one such n ? (Hint: Make a guess based on (a) and check it.) What is the smallest such n ?
 (c) The extension $\mathbb{Q}(\sqrt{-7})$ of \mathbb{Q} is abelian, and therefore contained in $\mathbb{Q}(\zeta_n)$ for some n . What is one such n ? What is the smallest such n ? (Hint: Again, make a guess and check it.)
 (d) For each of the quadratic fields above, what are their discriminants?
 (e) The extension $\mathbb{Q}(\sqrt{-5})$ of \mathbb{Q} is abelian, and therefore contained in $\mathbb{Q}(\zeta_n)$ for some n . What is the smallest such n ? (Hint: Depending on how you thought about this problem, this one might break the pattern of parts (a), (b), (c), but part (d) gives you a hint of what you should be thinking about instead.)

We will show next time that

$$(14) \quad e_C(Tz) = Te_C(z) + e_C(z)^q.$$

Accordingly, let's define

$$(15) \quad C_T(z) = T\tau^0 + \tau = Tz + z^q,$$

so that

$$(16) \quad e_C(Tz) = C_T(e_C(z)).$$

More generally, we will see that for each $a \in \mathbb{F}_q[T]$, there is a unique polynomial $C_a(z) \in \mathbb{F}_q[T]\{\tau\}$ such that

$$(17) \quad e_C(az) = C_a(e_C(z)).$$

5. For $a \in \mathbb{F}_q[T]$, let $C_a(z) \in \mathbb{F}_q[T]\{\tau\}$ be as above. Compute the polynomials $C_a(z)$ for

- (a) $a(T) = T^2 + T + 1$
- (b) $a(T) = T + 1$
- (c) $a(T) = T^2 + 3T + 2 = (T + 1)(T + 2)$
- (d) $a(T) = 1$

Hint: It is possible to do this by only using equation (14) recursively.

6. This question requires the previous question. You can also use that

$$(18) \quad C_{T+2}(z) = (T + 2)\tau^0 + \tau = (T + 2)z + z^q,$$

and

$$(19) \quad C_{T^2}(z) = T^2\tau^0 + (T^q + T)\tau + \tau^2 = T^2z + (T^q + T)z^q + z^{q^2}.$$

- (a) From the computation of C_{T^2} , C_{T+1} and C_{T^2+T+1} , guess and prove a formula for $C_{a+b}(z)$ when $a, b \in \mathbb{F}_q[T]$.
- (b) From the computation of C_{T+1} , C_{T+2} and C_{T^2+3T+2} , guess and prove a formula for $C_{ab}(z)$ when $a, b \in \mathbb{F}_q[T]$. (Hint: $C_{ab}(z) \in \mathbb{F}_q[T]\{\tau\}$, what is the multiplication there?)

Lecture 4 :

1. Let $a \in \mathbb{F}_q[T]$. Prove that the a -torsion of the Carlitz module, which is the set

$$(20) \quad \{z \in C_\infty : C_a(z) = 0\},$$

is equal to

$$(21) \quad \left\{ e_C \left(\frac{b}{a} \tilde{\pi} \right) : b \in \mathbb{F}_q[T] \text{ and } \deg b < \deg a \right\}.$$

Prove that as an $\mathbb{F}_q[T]$ -module (where the module structure is given by the Carlitz module), this is isomorphic to $\mathbb{F}_q[T]/(a)$.

2. Construct a Drinfeld module of rank 2 with j -invariant j .

3. Let P be an isogeny $P: \phi \rightarrow \psi$ of Drinfeld modules over C_∞ , by which we mean a function $P: C_\infty \rightarrow C_\infty$ such that

$$(22) \quad P\phi_a = \psi_a P$$

for all $a \in \mathbb{F}_q[T]$, and where multiplication here is composition as usual. Prove that $P \in C_\infty\{\tau\}$.

4. Let ϕ be any Drinfeld module over any field. Prove that $\phi_a\phi_b = \phi_b\phi_a$.
5. Compute the ring of endomorphisms of the Drinfeld module of rank 2 given by

$$(23) \quad \phi_T(z) = T\tau^0 + \tau^2,$$

over the field C_∞ . (An endomorphism is just an isogeny from a Drinfeld module to itself.)

6. Let ϕ be a Drinfeld module of rank 2 given by

$$(24) \quad \phi_T(z) = T\tau^0 + g\tau + \Delta\tau^2 = Tz + gz^q + \Delta z^{q^2}.$$

Compute $\phi_{2T^2}(z)$.

7. For this problem we will consider a Drinfeld module of rank 2 given by

$$(25) \quad \phi_T(z) = T\tau^0 + g\tau + \Delta\tau^2,$$

with both g and Δ in $\mathbb{F}_q[T]$.

- (a) Compute $\phi_{T+1}(z)$.
- (b) How large is the $(T+1)$ -torsion of this Drinfeld module, if we think of it as being defined over C_∞ ? (It is finite; how many elements does it have?)
- (c) Now think of this Drinfeld modular as being defined over $K = \mathbb{F}_q[T]/(T+1)$. How large is the $(T+1)$ -torsion now? (Hint: It depends on whether or not $T+1$ divides $g!$)